

# ISA TRANSFER FORM



## Mandatory fields marked with\*

This form should be completed if you wish to transfer an existing ISA into a Witan Wisdom ISA. Please complete all sections in both Part A and Part B of this form in BLOCK CAPITAL letters, sign and return to Witan Wisdom PO Box 10550, Chelmsford CM99 2BA. This form should be read in conjunction with the Witan Wisdom Key Features & Terms and Conditions. If you already have a Witan Wisdom account, please advise us of your account number at the end of Part A, Section 1.

### PART A: Application to transfer your ISA to Witan Wisdom

#### 1. Your personal details

Your title\* Mr  Mrs  Miss  Ms  Other title

First name\*

Surname\*

Email address\*

Mother's maiden name\*   
(required for security purposes)

Date of birth\*  DD -  MM -  YYYY

Your permanent home address\* House number or name\*

Address details\*

Postcode\*  Daytime telephone number\*   
(please include extension number if appropriate)

Place of birth\*  Nationality\*

#### National Insurance

Do you have a National Insurance number? Yes  No

You can find your National Insurance number on a payslip, form P45 or P60, letter from HMRC or DWP, or a pension book.

If yes, you must write it here  -  -  This will be 9 characters: 2 letters, 6 numbers, followed by the letter A, B, C or D.

If you are an existing Witan Wisdom customer please enter your account number:

#### 2. Banking details - Please complete this so we can make payments direct to your bank account

Name(s) of account holder(s)\*

Name of Bank/Building Society\*

Branch Sort Code\*  Bank/Building Society account number\*

### 3. Your preferences\*

- I would like dividends to be: Reinvested  or Paid out  (to my nominated bank account in section 2)
- I would like to receive the Annual and Half Year Reports: By post  or by email notification
- I would like to pay my Annual Management Fee:  
By Direct Debit (please complete section 4)  or for Witan Investment Services to sell shares to cover the fee

If you select to pay the annual management fee by direct debit, you avoid the possibility of shares being sold if the fee cannot be recovered from cash held at the time of dividend reinvestment.

### 4. Direct Debit Details - Please complete this to pay your annual management fee by Direct Debit (must be the same as section 2)

<b>Witan Investment Services Limited</b>	<b>Instruction to your bank or building society to pay by Direct Debit</b>	
Please fill in the form and send to: Witan Wisdom, PO Box 10550, Chelmsford CM99 2BA.		
<b>Name and full postal address of your bank or building society</b>		<b>Service user number</b>
To: The Manager <span style="float: right;">Bank/building society</span>		<b>6 9 8 4 4 1</b>
Address		<b>Reference</b>
Postcode		
<b>Name(s) of account holder(s)</b>		<b>Signature(s)</b>
<b>Branch sort code</b>	<b>Bank/building society account number</b>	<b>Date</b>
<b>Banks and building societies may not accept Direct Debit Instructions for some types of account.</b>		<b>DDI5</b>

### 5. Your instructions - Please instruct how much you would like to transfer\*

You can transfer an ISA in cash or stocks and shares. Please note the Witan Wisdom can only accept stock transfer requests for existing ISA holdings of Witan and/or Witan Pacific.

Are you intending to transfer in cash  or stocks and shares

If stock, please proceed to section 6. If cash, please complete the below.

Percentage of transfer to be invested  %

Stock name in full\* **W I T A N I N V E S T M E N T T S T**

Percentage of transfer to be invested  %

Stock name in full\* **W I T A N P A C I F I C I N V T S T**

**Total percentage**  % Maximum of 100%

You can add a lump sum contribution or set up a regular monthly/quarterly Direct Debit to your Witan Wisdom ISA account has been opened.

### 6. IFA (Independent Financial Adviser) Use Only

FCA code

## 7. Declarations\*

- I apply to subscribe for a Witan Wisdom stocks and shares ISA for the tax year 2017/2018 (and each subsequent year thereafter until further notice although I am not obliged to do so).
  - All subscriptions made or to be made belong to me.
  - I declare that I am 18 years of age or over.
  - I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Witan Investment Services Limited if I cease to be so resident or to perform such duties or to be married to, or in civil partnership with, a person who performs such duties.
  - I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
  - I have not subscribed, and will not subscribe, more than £20,000 in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA and (for 18-40 year-olds with a maximum annual limit of £4,000) a lifetime ISA in the same tax year.
  - I authorise Witan Investment Services Limited: to hold, or to arrange a suitable third party to hold, my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- to make on my behalf any claims to relief from tax in respect of ISA investments.
  - Where applicable, I appoint Witan Investment Services Limited as my agent to undertake the transactions enclosed with this declaration.
  - I agree to be bound by the **Witan Wisdom Key Features & Terms and Conditions** and will promptly inform you of any changes in my circumstances.
  - I accept that Witan Investment Services Limited may in its absolute discretion decline this application without reason being given.
  - I acknowledge that Witan Investment Services Limited does not offer legal or tax advice and offers an execution only investment service.
  - I declare that the statements completed on this application are true to the best of my knowledge and belief.
  - I authorise that instruction be given to my bank or building society to pay Witan Investment Services Limited Direct Debits from the nominated account.
  - I permit Witan Wisdom to submit the data provided by me in this application to Experian or any other third party used by Witan Investment Services Limited for the purpose of database searching to verify my identity and prevent fraud. A record of the search will be retained.

Signature\*

Date\* (XX/XX/XXXX)

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I agree Witan Investment Services Limited may also contact me with updates about Witan Investment Trust and Witan Pacific Investment Trust which may be of interest to me. (If you do not want to be contacted, you can write to us at any time).

**I do not want to be contacted**  (Please mark a cross in the box)

**PART B: Existing ISA Manager details and Transfer Authority**

Name of existing ISA Manager\*

Address of existing ISA Manager\*

Postcode\*

Your name\*

Your ISA Account number\*

Type of ISA with current provider\* Cash  or Stocks and Shares  or Other

If you are transferring a stocks and shares ISA and you already hold Witan **and/or** Witan Pacific in this ISA, please confirm whether you wish to transfer in Stock  or in cash

**Please note Witan Wisdom can only accept stock ISA transfers for existing holdings of Witan and/or Witan Pacific.**

Please indicate whether ISA transfer is for:\* 2017/18 Tax Year  and/or a previous Tax Year

If for a previous Tax Year, please indicate ISA amount to be transferred:

Entire  or for the amount of £  or the numbers of Witan or Witan Pacific Shares

Witan  Witan Pacific

If you are transferring an ISA for the current tax year, you must transfer your entire holding.

If you are transferring ISA holdings from previous tax years, you must specify whether you are transferring your entire holding or a specific amount of cash or shares (if applicable).

You can transfer both your current tax year ISA holding and ISA holdings from previous years.

Some Plan Managers may allow you to transfer individual plan years, others do not. Please check with your existing Plan Manager if you wish to specify Plan Years(s). **Please acknowledge the following declarations and thereby given Witan Investment Services the necessary permission to undertake the transfer:**

I hereby authorise you to transfer my existing ISA, detailed above, to my Witan Wisdom ISA with immediate effect.

I hereby authorise you to sell my holdings if I have requested a transfer in cash.

I hereby authorise you to provide the Administrator of Witan Wisdom with all such relevant information relating to my ISA which it may require.

I accept that there is a potential loss of income or growth due to market fluctuations whilst the transfer is in the course of completion. Witan accept no liability for loss of opportunity during the transfer.

Full name\*

<b>Signature*</b>	<input type="text"/>	<b>Date* (XX/XX/XXXX)</b>	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>
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**PLEASE COMPLETE A NEW TRANSFER FORM FOR EACH ISA YOU WISH TO TRANSFER**  
Please ensure you return all parts of this form to Witan Wisdom, PO Box 10550, Chelmsford CM99 2BA.

For internal use only

Issued and approved by Witan Investment Services Limited. Witan Investment Services Limited is registered in England No. 5272533 of 14 Queen Anne's Gate, London SW1H 9AA. Witan Investment Services Limited provides investment products and services and is authorised and regulated by the Financial Conduct Authority. Calls may be recorded for our mutual protection and to improve customer service.

